

BayesTraits V2 (Beta, unfinished)

July 2013

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## Major Changes from V1

Rate deviation and data deviation parameters are automatically tuned

Hard polytomys are now supported

A range of new models:

Multiple regression

Variable rates

Independent contrast

Covarian

Improved handling of model files

Estimation of internal and tips for continuous models

Numerous bug fixes and improvements

Note: Trees are now normalised to have a mean branch length of 0.1 for discrete and multistate models, rate estimates will change from V1 to V2 but will be relatively comparable.

## Introduction

BayesTraits is a computer package for performing analyses of trait evolution among groups of species for which a phylogeny or sample of phylogenies is available. It can be applied to the analysis of traits that adopt a finite number of discrete states, or to the analysis of continuously varying traits. Hypotheses can be tested about models of evolution, about ancestral states and about correlations among traits. The method can be used to take into account uncertainty about the model of evolution and the underlying phylogeny.

## Methods and Approach

BayesTraits uses Markov chain Monte Carlo (MCMC) methods to derive posterior distributions and maximum likelihood (ML) methods to derive point estimates of, log-likelihoods, the parameters of statistical models, and the values of traits at ancestral nodes of phylogenies. The user can select either standard or conventional MCMC or reversible-jump MCMC. In the latter case the Markov chain searches the posterior distribution of different models of evolution as well as the posterior distributions of the parameters of these models (see below).

*BayesTraits* can be used with a single phylogenetic tree in which case only uncertainty about model parameters is explored, or, it can be applied to suitable samples of trees such that models are estimated and hypotheses are tested taking phylogenetic uncertainty into account.

Our BayesPhylogenies package ([www.evolution.reading.ac.uk](http://www.evolution.reading.ac.uk)) can be used to generate posterior distributions of phylogenetic trees when a gene-sequence alignment or other data set is available.

## BayesTraits methods

- MultiState is used to reconstruct how traits that adopt a finite number of discrete states evolve on phylogenetic trees. It is useful for reconstructing ancestral states and for testing models of trait evolution. It can be applied to traits that adopt two or more discrete states (see Pagel, M., Meade, A. and Barker, D. 2004. *Systematic Biology*, 53, 673-684;
- Discrete is used to analyse correlated evolution between pairs of discrete binary traits. Most commonly the two binary states refer to the presence or absence of some feature, but could also include “low” and “high”, or any two distinct features. Its uses might include tests of correlation among behavioural, morphological, genetic or cultural characters (see Pagel, M. and Meade, A. 2006. *American Naturalist*, 167, 808-825.)
- Continuous is for the analysis of the evolution of continuously varying traits. It can be used to model the evolution of a single trait, to study correlations among pairs of traits, or to study the regression of one trait on two or more other traits (see Pagel, M. 1999. *Nature*, 401, 877-884).
- Continuous regression is used to build regression models and use these models to reconstruct past values (ref)
- Variable rates is used to detect variations in the rate of evolution through the tree, accounting for change in rate on a single lineage or for a group of taxa. (ref)

This manual is designed to show how to use the programs that implement these models. Detailed information about the methods can be found in the papers listed at the end (some are available as pdfs on our website). Syntax and a description of all of the commands in BayesTraits are listed below.

## Tree Format

*BayesTraits* requires trees to be in Nexus format, trees can now include hard polytomy but must be correctly rooted and include branch lengths. Taxa names must not be included in the description of the tree but should be linked to a number in the translate section of the tree file, a number of example trees are included with the program.

## Data Format

Data is read from a plain text file (ASCII), with one line for each species or taxon in the tree. The names must be spelled exactly as in the trees and must not have any spaces within them. They do not have to be in the same order. Following a species name, leave white space (tab or space) and enter the first column of data, repeat this for additional columns of data. Data for MultiState analysis should take values such as “0”, “1”, “2” or “A”, “B”, “C” etc. Discrete data must be exactly two columns of binary data and must take the values “0” or “1”. Continuous data should be integers or floating points. If data is missing it should be represented using “-”, for a trait in MultiState or Discrete the remaining data for the taxa is used, if data is missing for continuous the taxa is removed from the tree. Example data files for MultiState, Discrete and Continuous data are included with the program.

### Example of MultiState data

|         |    |   |   |
|---------|----|---|---|
| Taxon01 | A  | A | C |
| Taxon02 | B  | B | C |
| Taxon03 | A  | B | - |
| Taxon04 | C  | C | B |
| ....    |    |   |   |
| TaxonN  | BC | A | B |

Taxon 3 has missing data for the third site. Missing data are treated as if the trait could take any of the other states. The first trait for Taxon n is uncertain. The code BC signifies that it can be in states B or C (with equal probability) but not in state A.

### Example of Discrete (binary) data

|         |   |   |
|---------|---|---|
| Taxon01 | 0 | 0 |
| Taxon02 | 0 | - |
| Taxon03 | 1 | 0 |
| Taxon04 | 0 | 1 |
| ....    |   |   |
| TaxonN  | 1 | 1 |

### Example of Continuous data

|         |      |     |
|---------|------|-----|
| Taxon01 | 10   | 9.0 |
| Taxon02 | 1.06 | -   |
| Taxon03 | 5.3  | 2   |
| Taxon04 | 3    | 4   |
| ....    |      |     |
| TaxonN  | 1    | 1.1 |

## Running BayesTraits

BayesTraits is run from the command prompt (windows) or terminal (OS X and Linux), it is not run by double clicking on it. The program, tree file and data file should be placed in the same directory / folder. Start the command prompt / terminal and change to the directory the program, tree and data is in and type.

Windows

```
BayesTraits.exe TreeFile DataFile
```

Linux / OSX

```
./BayesTraits TreeFile DataFile
```

Where TreeFile is the name of the tree file and DataFile is the name of the data file.

## Running BayesTraits with a command file

If you need to run an analysis multiple times or if it is complex it can be more convenient to place the commands into a command file, instead of typing them in each time. A command file is a plain ASCII text file, with the commands to run.

An example command file is included with the program, "ArtiodactylMLIn.txt". The file has three lines,

```
1
1
run
```

The first line selects MultiState, the second is for ML analysis and the third is to run the program. To run *BayesTraits* using the Artiodactyl tree, data and input file use the following command.

Windows

```
BayesTraits.exe Artiodactyl.trees Artiodactyl.txt < ArtiodactylMLIn.txt
```

Linux / OS X

```
./BayesTraits Artiodactyl.trees Artiodactyl.txt < ArtiodactylMLIn.txt
```

## Continuous-time Markov models of trait evolution for discrete traits

Multistate and Discrete fit continuous-time Markov models to discrete character data. This model allows the trait to change from the state it is in at any given moment to any other state over infinitesimally small intervals of time. The rate parameters of the model estimate these transition rates (see Pagel, 1994 for further discussion). The model traverses the tree estimating transition rates and the likelihood associated with different states at each node.

The table below shows an example of the model of evolution for a trait that can adopt three states, 0, 1, and 2. The  $q_{ij}$  are the transition rates among the three states, and these are what the method estimates on the tree, based on the distribution of states among the species. If these rates differ from zero, this indicates that they are a significant component of the model. The main diagonal elements are not estimated but are a function of the other values in their row.

**Example of the model of evolution for a trait that adopts three states**

| <i>State</i> | <i>0</i> | <i>1</i> | <i>2</i> |
|--------------|----------|----------|----------|
| 0            | --       | $q_{01}$ | $q_{02}$ |
| 1            | $q_{01}$ | --       | $q_{12}$ |
| 2            | $q_{20}$ | $q_{21}$ | --       |

For a trait that adopts four states, the matrix would have twelve entries, for a binary trait the matrix would have just two entries.

*Discrete* tests for correlated evolution in two binary traits by comparing the fit (log-likelihood) of two of these continuous-time Markov models. One of these is a model in which the two traits evolve independently on the tree. Each trait is described by a 2×2 matrix in the same format as the one above, but in which the trait adopts just two states, “0” and “1”. This creates two rate coefficients per trait.

The other model, allows the traits to evolve in a correlated fashion such that the rate of change in one trait depends upon the background state of the other. The dependent model can adopt four states, one for each combination of the two binary traits (0,0; 0,1; 1,0; 1,1). It is represented in the program as shown below and the transition rates describe all possible changes in one state holding the other constant. The main diagonal elements are estimated from the other values in their row as before. The other diagonal elements are set to zero as the model does not allow ‘dual’ transitions to occur, the logic being that these are instantaneous transition rates and the probability of two traits changing at exactly the same instant of time is negligible. Dual transitions are allowed over longer periods of time, however. See Pagel (1994) and Barker and Pagel (2005) for further discussion of this model.

| State | 0,0             | 0,1             | 1,0             | 1,1             |
|-------|-----------------|-----------------|-----------------|-----------------|
| 0,0   | --              | q <sub>12</sub> | q <sub>13</sub> | --              |
| 0,1   | q <sub>21</sub> | --              | --              | q <sub>24</sub> |
| 1,0   | q <sub>31</sub> | --              | --              | q <sub>34</sub> |
| 1,1   | --              | q <sub>42</sub> | q <sub>43</sub> | --              |

The values of the transition rate parameters will depend upon the units of measurement in the phylogeny. In general if the branch lengths are increased by a factor ‘c’ the transition rates will be decreased by the same factor ‘c’. This has implications for modelling the rate parameters in Markov chains as discussed below.

*Covarion model.* *BayesTraits* implements the covarion model for trait evolution (Tuffley and Steele, *Math. Biosci.* 147:63–91, 1998). This is a variant of the continuous-time Markov model that allows for traits to vary their rate of evolution within and between branches. It is an elegant model that deserves more attention, although users may find it of limited value with comparative data – the model may require many sites to be estimated well.

## The Generalised Least Squares model for continuously varying traits

Continuous analyses phylogenetically structured continuously varying data using a generalised least squares (GLS) approach that assumes a Brownian motion model of evolution (see Pagel, 1997, 1999). In the GLS model, non-independence among the species is accounted for by reference to a matrix of the expected covariances among species. This matrix is derived from the phylogenetic tree. The model estimates the variance of evolutionary change (the Brownian motion parameter), sometimes called the ‘rate’ of change, and the ancestral state of traits at the root of the tree. It can also estimate the covariance of changes between pairs of traits, and this is how it tests for correlation.

The GLS approach means that data can be plotted across species and interpreted using the correlations and regressions obtained from Continuous. The GLS approach as implemented in Continuous also makes it possible to transform and scale the phylogeny to test the adequacy of the underlying model of



evolution, to assess whether phylogenetic correction of the data is required, and to test hypotheses about trait evolution itself – for example, is trait evolution punctuational or gradual, is there evidence for adaptive radiation, is the rate of evolution constant.

## Hypothesis Testing: Likelihood ratios and Bayes Factors

BayesTraits does not test hypotheses for you but prints out the information needed to make hypothesis tests. These will be discussed in more detail in conjunction with the examples below, but here we outline the two kinds of tests most often used.

The likelihood ratio (LR) test is often used to compare two likelihoods derived from nested model (models that can be expressed such that one is a special or general case of the other). The likelihood ratio statistic is calculated as

$$LR = 2[\log\text{-likelihood}(\text{better fitting model}) - \log\text{-likelihood}(\text{worse fitting model})]$$

The likelihood ratio statistic is nominally distributed as a  $\chi^2$  with degrees of freedom equal to the difference in the number of parameters between the two models. However, in some circumstances (see Pagel, 1994, 1997 and Barker and Pagel, 2005) the test may follow a  $\chi^2$  with fewer degrees of freedom.

Variants of the LR test include the Akaike Information Criterion and the Bayesian Information Criterion. We do not describe these tests here. They are discussed in many works on phylogenetic inference (see for example, Felsenstein. *Inferring Phylogenies*, 2004).

The LR, Akaike and Bayesian Information Criterion tests presume that the likelihood is at or near its maximum likelihood value. In a MCMC framework tests of likelihood often rely on Bayes factors. The logic is similar to that for the likelihood ratio test, except here we compare the marginal likelihoods of two models rather than their maximum likelihoods.

The marginal likelihood of a model is the integral of the model likelihoods over all values of the models parameters and over possible trees. In practice this marginal likelihood is difficult to estimate but research shows it can be well approximated by the harmonic mean of the likelihoods allowing the Markov chain to run for a very large number of iterations (millions). It is important to check that the harmonic mean is well estimated.

*BayesTraits* calculates the logarithm of the harmonic mean of the likelihoods as the program runs, having ignored the likelihoods during the burn-in period when the model is moving to convergence. The running tally of harmonic means is read from the final iteration of the chain and the values for the independent and dependent models are then compared. As the harmonic mean is a running total, only the last harmonic mean is used. The test statistic is just

$$\text{Log BF} = 2(\log[\text{harmonic mean}(\text{complex model})] - \log[\text{harmonic mean}(\text{simple model})])$$

| Log Bayes factor | Interpretation       |
|------------------|----------------------|
| <2               | Weak evidence        |
| >2               | Positive evidence    |
| 5-10             | Strong evidence      |
| >10              | Very strong evidence |

Model testing is a controversial topic with Bayesian analysis, and other options such as BIC, AIC, DIC may be considered.

## Priors

When using MCMC analysis method, the prior distributions of the parameters of the model of evolution must be chosen. The values of the rate parameters are dependent upon the branch lengths of the tree. Other things equal, longer branches will require smaller rate parameters and vice versa. This is why the user must set the kind of prior (e.g., uniform, exponential) and the prior-interval or range of values the prior covers.

Uniform or uninformative priors should be used if possible as these assume all values of the parameters are equally likely a priori and are therefore easily justified. Uniform priors can be used when the signal in the data is strong. But in a comparative study there will typically only be one or a few data points (unlike the many hundreds or thousands in a typical gene-sequence alignment) and so a stronger prior than a uniform may be required.

Priors are the soft underbelly of Bayesian analyses. The guiding principle is that if the choice of prior is critical for a result, you must have a good reason for choosing that prior. It is often useful to run maximum likelihood analyses on your trees to get a sense of the average values of the parameters. One option if a uniform with a wide interval does not constrain the parameters is to use a uniform prior with a narrower range of values, and this might be justified either on biological grounds or perhaps on the ML results. The ML results will not define the range of the prior but can give an indication of its midpoint.

NOTE: A rule of thumb when choosing a constrained or informed (non-uniform) prior is that if the posterior distribution of parameter values seems truncated at either the upper or lower end of the constrained range, then the limits on the prior must be changed.

The program allows uniform, exponential, gamma and beta distributed priors. The exponential distribution always has its mode at zero and then slopes down, whereas the gamma can take a variety of uni-modal shapes or even mimic the exponential. The exponential prior is useful when the general feeling is that smaller values of parameters are more likely than larger ones. If the parameters are thought to take an intermediate value, a gamma prior with an intermediate mean can be used.

Priors are set using the prior command, the **Prior** command takes a parameter to set the prior for, a distribution (uniform, exp, gamma or beta) and the parameters of the distribution.

```
Prior q01 exp 10
```

Is used to set an exponential prior with a mean of 10 for the rate parameter q01

```
Prior q10 uniform 0 100
```

Set the prior on q10 to a uniform 0 – 100

In many cases you will want to use the same prior on all parameters, the **PriorAll** command can be used to set all prior the same. It is identical to the prior command but does not take a parameter.

Because it can be difficult to arrive at suitable values for the parameters of the prior distributions, BayesTraits allows the use of a hyper prior. A hyper prior is simply a distribution – usually a uniform -- from which are drawn values to seed the values of the exponential or gamma priors. We recommend using hyperpriors as they provide an elegant way to reduce some of the uncertainty and arbitrariness of choosing priors in MCMC studies. For an example of selecting priors and using a hyper prior see Pagel, M., Meade, A. and Barker, D. 2004 Bayesian estimation of ancestral character states on phylogenies. *Systematic Biology*, 53, 673-684.

When using the hyper prior approach you specify the range of values for the uniform distribution that is used to seed the prior distribution. Thus, for example **HyperPriorAll** exponential 0 10 seeds the mean of the exponential prior from a uniform on the interval 0 to 10. **HyperPriorAll** gamma 0 10 0 10 seeds the mean and variance of the gamma prior from uniform hyper priors both on the interval 0 to 10.

## Burn-in and sampling in MCMC analysis

The burn-in period of a MCMC run is the early part of the run while the chain is reaching convergence. It is impossible to give hard and fast rules for how many iterations to give to burn-in. We often find that a minimum of 10,000 and seldom more than 500,000 is sufficient. The length of burn-in is set with the **burnin** command. During burn-in nothing is printed out. More complex models or larger trees may require longer burn-in periods.

Because successive iterations of most Markov chains are auto correlated, there is frequently nothing to be gained from printing out each line of output. Instead the chain is sampled or thinned to ensure that successive output values are roughly independent. This is the job of the **sample** command. It instructs the program only to print out every nth sample of the chain. Choose this value such that the autocorrelation among successive points is low (this can be checked in most statistics programs or even Excel). For many comparative datasets, choosing every 1000<sup>th</sup> or so iteration is more than adequate to achieve a low autocorrelation.

The chain is run for 1010000 iterations by default, this can be changed with the **iterations** command, which takes the number of iterations to run for or -1 for an infinite chain, which can be stopped by holding Ctrl and pressing C.

## The parameter proposal mechanism and mixing in MCMC analysis

### Mixing

Mixing, how many times a proposed change to a chain is accepted, is key to a successful MCMC analysis. If changes to a parameter are too large the likelihood will change dramatically, and at convergence many of the proposed changes will have a poor likelihood. This will cause the chain to mix poorly, resulting in a low acceptance rate and the chain becoming stuck, where between samples no other solution is accepted. The other side of the coin is, if too small changes are proposed the likelihood does not change much, leading to a high acceptance rate, causing the chain to wander. An ideal acceptance rate is between 20-40% at convergence.

Parameter value can vary widely between data sets and trees, parameters obtained from an of a molecular tree using body size data, may be many orders of magnitude different from parameters obtained using a time tree in millions of years using genome size. This makes it very hard to find a universal proposal mechanism. The rate deviation parameter (RateDev) controls the size of change to use. Large rate deviation values make bigger changes leading to lower acceptance rates, small values lead to higher acceptance rates. Non-continuous parameters such as the tree used or reverse jump acceptance rate, cannot be tuned.

### AutoTune

By default BayesTraits attempts to automatically tune the proposal mechanism to find a rate deviation parameter which gives an acceptance rate of approximately 30% but this can be

overridden using the RateDev command. The command takes a number, for example the command below sets the rate deviation to 8.5

```
RateDev 8.5
```

## Monitoring Acceptance Rate

BayesTraits produces a schedule file which is used to monitor how the chain is mixing, the file contains the schedule, the percentage of operators tried, followed by a header. The header shows the number of times an operator was tried and the percentage of time it was accepted, if auto tune is used the rate dev values, acceptance rate for that parameter, the average acceptance for that iteration and the running mean acceptance rate is recorded. The schedule file should be reviewed to make sure the chain is mixing correctly.

## Over parameterisation

There is a constant battle in comparative methods between model complexity and data needed to estimate parameters. In many cases, especially with multistate and discrete data, the model will require too many parameters, which cannot be estimated with the available data. This causes the model to be over parameterised. Indications of over parameterisation include, poorly estimated parameters, parameters trading off with each other, suboptimal likelihoods, and poor convergence / parameter optimisation. Model complexity can be reduced by combining parameters with the restrict command and ensuring the ratio of parameters to data is not high.

## Parameter restriction

The default multistate and discrete models are often over parameterised, due to the large number of parameters, many of which may not be supported. BayesTraits allows parameters to be restricted to each other, this is used to reduce the number of free parameters and increase the information available to estimate the remaining ones. The restrict command (res) is used to restrict parameter, the command takes two or more parameter names, restricting all supplied parameters to the first

To restrict alpha2 to alpha1 use the following command  
Res alpha1 alpha2

To restrict all parameters, in an independent model, to alpha 1 use  
Res alpha1 alpha2 beta1 beta2  
Or  
ResAll alpha1

Parameters can also be restricted to constants, including zero, in the same way  
Res alpha1 1.5  
Or  
Res alpha1 alpha2 1.5

The unrestricted (UnRes) command can be used to remove restrictions

Model testing (see above) can be used to test if a parameter is statistically justified, when the number of free parameters is reduced.

## Reverse Jump MCMC

For a complex model the number of possible restrictions is large, and may be impossible to test. A reverse jump MCMC method was developed to integrate result over model parameter and model restrictions, for a detailed description see (ref).

The RevJump (RJ) command is used to select reverse jump MCMC, the command take a prior and prior parameters. For example, the command below uses reverse jump with an exponential prior with a mean of 10. The second command uses reverse jump with a hyper exponential prior drawn from a uniform 0 - 100

```
RevJump exp 10
```

Or

```
RJHP exp 0 100
```

## Multistate ML example

Start the program using the "Artiodactyl.trees" tree file and the "Artiodactyl.data" file. The following screen should be presented to you

```
Please Select the model of evolution to use.  
1)      MultiState
```

Select 1 for the MultiState model

```
Please Select the analysis method to use.  
1)      Maximum Likelihood.  
2)      MCMC
```

Select 1 for maximum likelihood analysis.

The default options will be printed, displaying basic information. This should always be checked to ensure it is what you expect.

Type run

The analysis will start. The options for the run will be printed followed by a header row. The header row is the

| Header           | Output                                 |
|------------------|--|
| <b>Tree No</b>   | The tree number, 1-500 for this data   |
| <b>Lh</b>        | Maximum likelihood value for the tree  |
| <b>qDG</b>       | The transition rate from D to G        |
| <b>qGD</b>       | The transition rate from G to D        |
| <b>Root P(D)</b> | The probability the root is in state D |
| <b>Root P(G)</b> | The probability the root is in state G |

For each tree in the sample a line of output will be printed. Once all trees have been analysed the program will terminate.

## Multistate MCMC example

Start the program using the “Artiodactyl.trees” tree and “Artiodactyl.data” data file, select multistate (1) and MCMC (2). The default options will be printed.

Set all priors to an exponential with a mean of 10, and start the chain, using

```
PriorAll exp 10
```

```
Run
```

A header will be printed

| Header               | Output                             |
|----------------------|------------------------------------|
| <b>Iteration</b>     | Current iteration of the chain     |
| <b>Lh</b>            | Current likelihood of the chain    |
| <b>Harmonic Mean</b> | Running harmonic mean              |
| <b>Tree No</b>       | Current tree number                |
| <b>qDG</b>           | Transition rate from D to G        |
| <b>qGD</b>           | Transition rate from G to D        |
| <b>Root P(D)</b>     | Probability the root is in state D |
| <b>Root P(G)</b>     | Probability the root is in state G |

| Iteration      | Lh       | Harmonic Mean | Tree No | qDG      | qGD      | Root P(D) | Root P(G) |
|----------------|----------|---------------|---------|----------|----------|-----------|-----------|
| <b>11000</b>   | -7.93307 | -7.93307      | 187     | 3.702561 | 2.5446   | 0.351023  | 0.648977  |
| <b>12000</b>   | -8.98846 | -8.59398      | 495     | 3.120973 | 4.914959 | 0.475628  | 0.524372  |
| <b>13000</b>   | -8.37416 | -8.52594      | 99      | 3.799383 | 4.489798 | 0.417393  | 0.582607  |
| <b>14000</b>   | -10.2806 | -9.31231      | 95      | 17.07613 | 27.54498 | 0.499972  | 0.500028  |
| <b>15000</b>   | -10.7122 | -9.78912      | 95      | 6.945588 | 5.865219 | 0.48436   | 0.51564   |
| ...            | ...      | ...           | ...     | ...      | ...      | ...       | ...       |
| <b>1006000</b> | -8.94481 | -9.90388      | 400     | 8.97661  | 8.407563 | 0.473517  | 0.526483  |
| <b>1007000</b> | -8.53244 | -9.90313      | 147     | 0.33644  | 1.477702 | 0.012116  | 0.987884  |
| <b>1008000</b> | -8.03562 | -9.90228      | 338     | 2.454093 | 3.334973 | 0.270869  | 0.729131  |
| <b>1009000</b> | -8.41139 | -9.90151      | 107     | 2.217407 | 4.183507 | 0.365974  | 0.634026  |
| <b>1010000</b> | -9.72812 | -9.90135      | 61      | 7.50541  | 9.538785 | 0.484114  | 0.515886  |

Output from the chain is tab separated and is designed to be using in program such as excel and JMP. Run to run output will vary and is depend on the random seed used.

The scheduled file “Artiodactyl.txt.Schedule.txt” will be created, this should be check to ensure the chain is mixing correctly.

## Parameter restriction example

The previous example assumed that the transition rate between qDG and qGD were different and estimated both separately. To test if qDG and qGD are significantly different from each other, re-run the analysis restricting qGD to take the same value qDG. The same restrict command can be used in ML analysis.

```
PA exp 10
Restrict qDG qGD
Run
```

The output should be very similar but the rate parameters qDG and qGD should be the same each iteration. The significance of the test can be found by calculating a Bayes Factor from the harmonic means.

The harmonic mean from the analysis where  $qDG \neq qGD = -9.90135$ , the harmonic mean where  $qDG = qGD = -8.965492$ . The complex model is  $qDG \neq qGD$ , because it has one more parameter than the simple model,  $qDG = qGD$ . The Bayes Factor (BF) is given as

$$\text{Log BF} = 2(\log[\text{harmonic mean}(\text{complex model})] - \log[\text{harmonic mean}(\text{simple model})])$$
$$\text{Log BF} = 2(-9.90135 - -8.965492)$$
$$\text{Log BF} = -1.871716$$

As the BF is less than two the simpler model should be favoured

Note: Values of the harmonic means will vary between runs depending on the random seed and how long the chain is run for, values are only for illustrative purposes. The harmonic means were calculated from a very short run and were not tested for reliability using multiple independent and longer runs. They are only used to demonstrate basic model testing.

## Ancestral state reconstruction Multistate / discrete

The AddMRCA and AddNode commands are used to reconstruct ancestral states in multistate and discrete models. The syntax for the two commands are similar. The commands take a tag which is used to identify the node in the output, and a list of taxa names or taxa number which define the node. BayesTrees (see website) is a graphics tree viewer which can be used to generate the command by clicking on the appropriate node. Using the "Artiodactyl.trees" tree and "Artiodactyl.data", select multistate and MCMC

The two commands below add a node to reconstruct, defined by Porpoise Dolphin FKWhale and Whale. The node is called "Node1". The second command is identical but uses the taxa number instead of taxa names to define the node.

```
AddNode Node1 Porpoise Dolphin FKWhale Whale
AddNode Node1 5 6 7 8
```

run the program with the following commands

```
PA exp 10
Res qDG qGD
AddNode Node1 Porpoise Dolphin FKWhale Whale
Run
```

Two new columns should be added to the output "Node1 P(D)" and "Node1 P(G)", these represent the probability of reconstructing a D or a G at Node1.

*BayesTraits* uses a sample of trees and not all nodes will be present in all trees, the node defined by Sheep, Goat, Cow, Buffalo and Pronghorn is only present in 58% of the trees. If the commands below are run

```
PA exp 10
Res qDG qGD
AddNode VarNode Sheep Goat Cow Buffalo Pronghorn
Run
```

The posterior probability of node reconstruction will not be present for all samples, some samples will be recorded as “--” because the node is not present in those trees.

The MRCA command reconstructs the Most Recent Common Ancestor, which will be present in all trees. Rerun the analysis using MRCA.

```
PA exp 10
Res qDG qGD
AddMRCA VarNode Sheep Goat Cow Buffalo Pronghorn
Run
```

Any number of nodes can be reconstructed in a single analysis without any effect on each other.

## Fixing node values / fossilising

Internal nodes can be set to take a fixed value, if external information is available or to test if the value of one state is significant. The fossil command takes a node name, a state to fossilise in and a list of taxa which define the node, nodes are found using the most recent common ancestor method. The command below fossilises a node defined by sheep, goats, cows, buffalo and pronghorn to state D.

```
Fossil Node01 D Sheep Goat Cow Buffalo Pronghorn
```

To test if a fossilised state is significant, run an analysis fossilising a node in one state and compare the results when the node is fossilised in an alternative state.

Fossilising states in discrete requires a number instead of a state. The table below show the numbers and there corresponding states. X denotes the likelihood is left unchanged, - set the likelihood to zero.



| Number | 0,0 | 0,1 | 1,0 | 1,1 |
|--------|-----|-----|-----|-----|
| 0      | X   | -   | -   | -   |
| 1      | -   | X   | -   | -   |
| 2      | -   | -   | X   | -   |
| 3      | -   | -   | -   | X   |
|        |     |     |     |     |
| 10     | X   | X   | -   | -   |
| 11     | X   | -   | X   | -   |
| 12     | X   | -   | -   | X   |
| 13     | -   | X   | X   | -   |
| 14     | -   | X   | -   | X   |
| 15     | -   | -   | X   | X   |
|        |     |     |     |     |
| 20     | X   | X   | X   | 0   |
| 21     | X   | X   | 0   | X   |
| 22     | X   | 0   | X   | X   |
| 23     | 0   | X   | X   | X   |

## Discrete examples

Discrete is used to test if two binary traits are correlated, significance is established by comparing the likelihoods of two models, one which assumes the traits evolve independently, with one which assumes the traits evolution is correlated. The examples focus on MCMC but ML can also be used. The examples use a sample of 500 primate trees “Primates.trees” and a data set of two binary traits, estrus advertisement and multi-male mating “Primates.txt”. Two binary traits have 4 states, written as “0,0”, “0,1”, “1,0” and “1,1”.

## Discrete independent

The independent model assumes the two traits evolve independently, e.g. the transition from 0 → 1 in the first trait is independent of the state of the second trait. The independent model has 4 rate parameters, alpha1, beta1, alpha2 and beta2. Double transitions from state 0,0 to 1,1 or from 0,1 to 1,0 are set to zero.

| Parameter | Symbol     | Trait | Transitions |
|-----------|------------|-------|-------------|
| alpha1    | $\alpha_1$ | 1     | 0 → 1       |
| beta1     | $\beta_1$  | 1     | 1 → 0       |
| alpha2    | $\alpha_2$ | 2     | 0 → 1       |
| beta2     | $\beta_2$  | 2     | 1 → 0       |

|     | 0,0       | 0,1        | 1,0        | 1,1        |
|-----|-----------|------------|------------|------------|
| 0,0 | -         | $\alpha_2$ | $\alpha_1$ | 0          |
| 0,1 | $\beta_2$ | -          | 0          | $\alpha_1$ |
| 1,0 | $\beta_1$ | 0          | -          | $\alpha_2$ |
| 1,1 | 0         | $\beta_1$  | $\beta_2$  | -          |

Start BayesTraits with the tree file “Primates.trees” and data file “Primates.txt”, select the independent model (2) and MCMC analysis (2). Set all the priors to an exponential with a mean of 10 and run the analysis, using the following commands.

```
PriorAll exp 10
Run
```

The output will be similar to the multistate analysis, the header will contain.

| Header        | Output                               |
|---------------|--------------------------------------|
| Iteration     | Current iteration of the chain       |
| Lh            | Current likelihood of the chain      |
| Harmonic Mean | Running harmonic mean                |
| Tree No       | Current tree number                  |
| alpha1        | The alpha1 transition rate           |
| beta1         | The beta1 transition rate            |
| alpha2        | The alpha2 transition rate           |
| beta2         | The beta2 transition rate            |
| Root – P(0,0) | Probability the root is in state 0,0 |
| Root – P(0,1) | Probability the root is in state 0,1 |
| Root – P(1,0) | Probability the root is in state 1,0 |
| Root – P(1,1) | Probability the root is in state 1,1 |

## Discrete dependent

The dependent model assumes that the traits are correlated and the rate of change in one trait is dependent on the state of the other. The dependent model has 8 parameters, q12, q13, q21, q24, q31, q34, q42 and q43.

| Parameter | Dependent on | Trait | Transitions |
|-----------|--------------|-------|-------------|
| q12       | Trait 1 = 0  | 2     | 0 → 1       |
| q13       | Trait 2 = 0  | 1     | 0 → 1       |
| q21       | Trait 1 = 0  | 2     | 1 → 0       |
| q24       | Trait 2 = 1  | 1     | 0 → 1       |
| q31       | Trait 2 = 0  | 1     | 1 → 0       |
| q34       | Trait 1 = 1  | 2     | 0 → 1       |
| q42       | Trait 2 = 1  | 1     | 1 → 0       |
| q43       | Trait 1 = 1  | 2     | 1 → 0       |

|     | 0,0       | 0,1       | 1,0       | 1,1       |
|-----|-----------|-----------|-----------|-----------|
| 0,0 | -         | $Q_{1,2}$ | $Q_{1,3}$ | 0         |
| 0,1 | $Q_{2,1}$ | -         | 0         | $Q_{2,4}$ |
| 1,0 | $Q_{3,1}$ | 0         | -         | $Q_{3,4}$ |
| 1,1 | 0         | $Q_{4,2}$ | $Q_{4,3}$ | -         |

Start BayesTraits with the tree file "Primates.trees" and data file "Primates.txt", select the dependent model (3) and MCMC analysis (2). Set all the priors to an exponential with a mean of 10 and run the analysis, using the following commands.

```
PriorAll exp 10
Run
```

The output will be very similar to the independent model except that the dependent parameters are estimated instead of the independent.

To test if the traits are correlated calculate a Bayes Factor between the independent and dependent models. If the independents harmonic mean is -45.51 and the dependent harmonic mean is -42.47

$$\text{Log BF} = 2(\log[\text{harmonic mean}(\text{complex model})] - \log[\text{harmonic mean}(\text{simple model})])$$

$$\text{Log BF} = 2(-42.47 - -45.51)$$

$$\text{Log BF} = 6.08$$

The Log BF of 6 suggests there is strong evidence for correlated evolution. Harmonic means will vary between runs but the values should be close.

## Reverse Jump MCMC and mode reduction

Given the size of the data and complexity of the model it is unlikely that all parameters in the independent and dependent models are well estimated and statistically distinct. The previous parameter restriction example, demonstrated how a model could be simplified by restricting parameters and how to test if restrictions were significant. There are 51 possible restrictions for the independent model and over 21,000 for the dependent model. Reverse jump MCMC (RJ-MCMC) integrates results over the model space, automatically select viable models and parameters.

The reverse jump command takes a prior as a parameter, the command below uses an RJ MCMC model with an exponential prior with a mean of 10

```
RJ exp 10
```

RJ MCMC can also be used with a hyper prior.

```
RJHP exp 0 100
```

Run the primates data and tree, with the dependent model and MCMC analysis, using the commands below

```
RJ exp 10  
Run
```

The output will contain 4 new columns.

| Header           | Output  |
|------------------|---|
| No Of Parameters | Number of parameters  |
| No Of Zero       | Number of parameters set to zero                                |
| Model string     | A model string showing parameter restrictions                   |
| Dep / InDep      | A flag showing if the model is dependent (D) or independent (I) |

Model strings are used to characterise the models restrictions, the string start with ' and is followed by numbers indicating which parameters are in which groups or Z if the parameters are been restricted to zero. For example the modelling string for a dependent model will have 8 sections one for each parameter, the model string "1 Z 0 0 0 1 1 Z", has two parameters and two rates set to zero. The first group consists of the 1<sup>st</sup>, 6<sup>th</sup> and 7<sup>th</sup> parameters (q12, q34 and q42), the second group is formed of the 3<sup>rd</sup>, 4<sup>th</sup> and 5<sup>th</sup> parameters (q21, q24 and q31), and the 2<sup>nd</sup> and 8<sup>th</sup> parameter is set to zero. This can be checked against the parameter estimates.

To test if a data set is correlated run an independent model using RJ MCMC and compare harmonic means with a dependent model using RJ MCMC.

## Covarion model

BayesTraits implements a basic on / off covarion model as described by (ref), the model requires one additional parameter the switching rate between on / off. The model allows the rate to vary in different parts of the tree. The "CV" command is used to activate the covarion model, two additional columns will be included in the output, "Covar On to Off" and "Covar Off to On". The switching rate between the on and off states will be the same.

## Continuous: Random Walk (Model A) ML

Start BayesTraits with the tree file "Mammal.trees" and data file "MammalBody.txt", the tree file is a sample of 50 mammal trees and the data is there corresponding body size, the trees and data are for illustrative purposes and are not accurate. Select model A (4) and maximum likelihood analysis (1), start the analysis with

```
Run
```

Basic information will be printed followed by a header

| Header  | Output                                |
|---------|---------------------------------------|
| Tree No | The tree number, 1-50 for this data   |
| Lh      | Maximum likelihood value for the tree |

|                      |  |
|----------------------|--|
| <b>Alpha Trait 1</b> | The phylogenetically correct mean of the data, also the estimated root value |
| <b>Var Trait 1</b>   | The phylogenetically corrected variance of the data                          |

## Continuous: Random Walk (Model A) MCMC

Start BayesTraits with the tree file "Mammal.trees" and data file "MammalBody.txt", select Model A (4) and MCMC (2), start the analysis with

Run

Basic information will be printed followed by a header

| Header               | Output   |
|----------------------|--|
| <b>Iteration</b>     | Current iteration of the chain   |
| <b>Lh</b>            | Current likelihood of the chain  |
| <b>Harmonic Mean</b> | Running harmonic mean  |
| <b>Tree No</b>       | Current tree number  |
| <b>Alpha Trait 1</b> | The phylogenetically correct mean of the data, also the estimated root value |
| <b>Var Trait 1</b>   | The phylogenetically corrected variance of the data                          |

## Testing trait correlations: continuous

To test if two traits are correlated the results from two analyses are compared, one in which a correlation is assumed (the default) and one where the correlation is set to zero. Run an analysis using the tree file "Mammal.trees" and a data file "MammalBrainBody.txt", containing brain and body size data. Select model A and MCMC analysis, start the analysis with

Run

Basic information will be printed followed by a header

| Header               | Output  |
|----------------------|---|
| <b>Iteration</b>     | Current iteration of the chain                              |
| <b>Lh</b>            | Current likelihood of the chain                             |
| <b>Harmonic Mean</b> | Running harmonic mean                                       |
| <b>Tree No</b>       | Current tree number   |
| <b>Alpha Trait 1</b> | The phylogenetically correct mean of the first trait        |
| <b>Alpha Trait 2</b> | The phylogenetically correct mean of the second trait       |
| <b>Var Trait 1</b>   | The phylogenetically corrected variance of the first trait  |
| <b>Var Trait 2</b>   | The phylogenetically corrected variance of the second trait |
| <b>R Trait 1 2</b>   | R correlation between trait 1 and trait 2                   |

Rerun the analysis but force the correlation to be zero using the TestCorrel (TC) command.

TestCorrel  
Run

The output should be similar except the “R Trait 1 2” value should be 0. The significance of the correlation can be tested by comparing the harmonic means between the two runs. If the analysis allowing a correlation produced a harmonic mean of -73.29 and the analysis with the correlation fixed to zero gave a harmonic mean of -135.03, this would lead to a log Bayes Factor of 123.46, suggesting they are highly correlated.

## Continuous: Directional (Model B) MCMC

The directional model can be used to test if there is a directional change in a traits evolution, by testing if a trait is correlated with the root to tip distance of the taxa. Model B cannot be used with ultrametric trees as there is no root to tip variation between taxa. A fictional data set “MammalModelB.txt” can be used to test if there is a significant directional trend by performing a model test between Model A and Model B.

## Continuous: Regression

The continuous regression model is used to perform regression, test trait significance and predict unknown values. The regression model takes two or more traits, the first trait is assumed to be the dependent variable. MammalBrainBodyGt.txt is a dataset of mammal brain, body and gestation time. Run BayesTraits with the “Mammal.trees” tree file and “MammalBrainBodyGt.txt” data. Select the regression model (6) and MCMC (2) and run the analysis. The header will contain.

| Header        | Output                             |
|---------------|------------------------------------|
| Iteration     | Current iteration of the chain     |
| Lh            | Current likelihood of the chain    |
| Harmonic Mean | Running harmonic mean              |
| Tree No       | Current tree number                |
| Alpha         | Intercept                          |
| Beta Trait 2  | Regression coefficient for trait 2 |
| Beta Trait 3  | Regression coefficient for trait 2 |
| Var           | Brownian motion variance           |
| R^2           | R^2                                |
| SSE           | Sum of squared error               |
| SST           | Total sum of squared               |
| s.e. Alpha    | Standard error Alpha               |
| s.e. Beta-2   | Standard error Beta-2              |
| s.e. Beta-3   | Standard error Beta-3              |

## Testing trait significance

There are a number of ways to test if a trait is significant in the regression model, the first is to compare harmonic means from runs with and without the trait. The second is the ratio of the time the regression coefficient is >0 / the time the regression coefficient is <0. The third is to set all regression coefficients to zero using the TestCorrel (TC) command.

## Tree transformations, kappa, lambda, delta

BayesTraits supports a number of tree transformations including, kappa ( $\kappa$ ), lambda ( $\lambda$ ) and delta ( $\delta$ ). These scaling parameters allow tests of the tempo, mode, and phylogenetic associations of

trait evolution. All three take the value 1.0 by default. These values correspond to assuming that the phylogeny and its branch lengths accurately describe a constant-variance random walk model A or B. However, if trait evolution has not followed the topology or the branch lengths, these values will depart from 1.0. When they do, incorporating them into the analysis of the data (e.g., when estimating the correlation between two traits) significantly improves the fit of the data to the model.

The kappa parameter differentially stretches or compresses individual phylogenetic branch lengths and can be used to test for a punctuational versus gradual mode of trait evolution.  $\text{Kappa} > 1.0$  stretches long branches more than shorter ones, indicating that longer branches contribute more to trait evolution (as if the rate of evolution accelerates within a long branch).  $\text{Kappa} < 1.0$  compresses longer branches more than shorter ones. In the extreme of  $\text{Kappa} = 0.0$ , trait evolution is independent of the length of the branch.  $\text{Kappa} = 0.0$  is consistent with a punctuational mode of evolution.

The parameter delta scales overall path lengths in the phylogeny - the distance from the root to the species, as well as the shared path lengths. It can detect whether the rate of trait evolution has accelerated or slowed over time as one moves from the root to the tips, and can find evidence for adaptive radiations. If the estimate of  $\text{Delta} < 1.0$ , this says that shorter paths (earlier evolution in the phylogeny) contribute disproportionately to trait evolution - this is the signature of an adaptive radiation: rapid early evolution followed by slower rates of change among closely related species.  $\text{Delta} > 1.0$  indicates that longer paths contribute more to trait evolution. This is the signature of accelerating evolution as time progresses. Seen this way, delta is a parameter that detects differential rates of evolution over time and re-scales the phylogeny to a basis in which the rate of evolution is constant.

The parameter lambda reveals whether the phylogeny correctly predicts the patterns of covariance among species on a given trait. This important parameter in effect indicates whether one of the key assumptions underlying the use of comparative methods - that species are not independent - is true for a given phylogeny and trait. If a trait is in fact evolving among species as if they were independent, this parameter will take the value 0.0 and indicate that phylogenetic correction can be dispensed with. A lambda value of 0.0 corresponds to the tree being represented as a star or big-bang phylogeny. If traits are evolving as expected given the tree topology and the random walk model, lambda takes the value of 1.0. Values of  $\text{lambda} = 1.0$  are consistent with the constant-variance model (sometimes called Brownian motion) being a correct representation of the data. Intermediate values of lambda arise when the tree topology over-estimates the covariance among species.

The value of lambda can differ for different traits on the same phylogeny. If the goal is to estimate the correlation between two traits then lambda should be estimated while simultaneously estimating the correlation. If, on the other hand, the goal is to characterise traits individually, a separate lambda can be estimated for each.

| Parameter | Action                                 | 0                                      | <1                                      | 1   | >1                          |
|-----------|--|--|---|---|-----------------------------|
| lambda    | Assess contribution of phylogeny       | Star phylogeny (species independent)   | phylogenetic history has minimal effect | default phylogeny                         | not defined                 |
| kappa     | Scale branch lengths in tree           | punctuational evolution                | stasis in longer branches               | default gradualism                        | longer branches more change |
| delta     | Scale total path (root to tip) in tree | Scale total path (root to tip) in tree | not defined                             | temporally important (adaptive radiation) | default gradualism          |

Three scaling parameters and their interpretation when applied to trait evolution on a phylogeny

All three parameters can be estimated using ML or MCMC, the syntax for the three parameters is the same, either the scaling parameter on its own to toggle its estimation (they are not estimated by default, set to 1.0) or the scaling parameter followed by a number to fix the parameter to a given value.

The first command estimates lambda, the second fixes it to 0.5

```
Lambda
Lambda 0.5
```

The first command estimates kappa, the second fixes it to 0.5

```
Kappa
Kappa 0.5
```

The first command estimates delta, the second fixes it to 0.5

```
Delta
Delta 0.5
```

Model testing can be used to determine if a transform is significant or if a value of transform is significant, e.g. is lambda significantly different from 0.

## Continuous: Estimating unknown values

Continues models can be used to estimate unknown values on the tree, internal nodes or taxa data. Estimating unknown values is a two-step process, first a distribution of models is estimated from available data, secondly the models are used to estimate unknown values. The two step process is used to prevent estimated data affecting the model parameters. Estimating unknown values can be used with model A, model B and the regression model but only with MCMC analysis.

The “SaveModels” command is used to save models to a specified file, the “LoadModels” command is used to load the models into BayesTraits. The same model parameters, including tree transformations, has to be specified when creating a model file and when estimating unknown values, only basic error checking is implemented.

## Example: Estimating unknown values internal nodes

Start BayesTraits with the “mammal.trees” file and “MammalBody.txt” data. Select model A (4) and MCMC analysis (2). Save the models and run the analysis with the commands below, the models will be saved into a file called “MamBodyModels.bin”

```
SaveModels MamBodyModels.bin
```



Run

Once the program has finished a file called “MamBodyModels.bin” will be created.

To estimate data, start BayesTraits with the same tree and data files, select model A (4) and MCMC analysis (2). The AddMRCA command is used to estimate internal node values, it takes a node label used to identify the node in the output and a list of taxa which define the node. The commands below load the model file, reconstruct a node called “Node-01” defined by five taxa, reconstruct a node called “Node-02” defined by four taxa, and run the analysis.

```
LoadModels MamBodyModels.bin
AddMRCA Node-01 Whale Hippo Llama Ruminant Pig
AddMRCA Node-02 Mouse Rat Hystricid Caviomorph
Run
```

The output header will contain

| Header          | Output                                   |
|-----------------|--|
| Iteration       | Current iteration of the chain           |
| Lh              | Current likelihood of the chain          |
| Harmonic Mean   | Running harmonic mean                    |
| Tree No         | Current tree number                      |
| Model No        | Current model number from the model file |
| Alpha Trait 1   | Phylogenetic mean                        |
| Var Trait 1     | Brownian motion variance                 |
| Est Node-02 - 1 | Estimated values for Node-02 trait 1     |
| Est Node-01 - 1 | Estimated values for Node-01 trait 1     |

### Example: Estimating unknown values for tips

Data for taxa can be estimated, as well as internal nodes. A data file “MammalBrainBodyNoTapir.txt” has been created with the data for tapir set to missing ‘-’. Run BayesTraits with the “Mammal.trees” tree file and “MammalBrainBodyNoTapir.txt” data file. Select the regression model (6) and MCMC analysis (2). Use the command below to save the models and run the analysis

```
SaveModels MamRegModels.bin
Run
```

A data file “MammalBrainBodyPredTapir.txt” which contains the tapir body size but with the brain size set to “?”, a question mark in the data is used to indicate the value should be estimated. Run an analysis using the “Mammal.trees” tree file and “MammalBrainBodyPredTapir.txt” data file. Select the regression model (6) and MCMC analysis (2). Use the command below to load the models and run the analysis

```
LoadModels MamRegModels.bin
Run
```

The output will contain a “Est Tapir – Dep” column, with the predicted tapir brain size.

## Independent contrast

BayesTraits implements a independent contrast models which can be estimated using MCMC or ML. Start BayesTraits with the tree file “Mammal.trees” and data file “MammalBrainBody.txt”. Select Independent contrast (7) and MCMC (2) and run the analysis.

The independent contrast model assumes sites are independent. The output will contain

| Header        | Output  |
|---------------|---|
| Iteration     | Current iteration of the chain                |
| Lh            | Current likelihood of the chain               |
| Harmonic Mean | Running harmonic mean                         |
| Tree No       | Current tree number                           |
| Alpha 1       | Phylogenetic mean of the first trait          |
| Alpha 2       | Phylogenetic mean of the second trait         |
| Sigma 1       | Brownian motion variance for the first trait  |
| Sigma 2       | Brownian motion variance for the second trait |

## Variable rates model

The variable rates model allows the rate of change to vary threw time and identifies areas of the tree where the rate of evolution differed significantly, for an in-depth description see (ref). The variable rates model uses RJ MCMC to identify areas of the tree in which the rate of evolution varies significantly. The model only works with a single tree and requires MCMC analysis. A tree (Marsupials.trees)of roughly 250 Marsupials and there body sizes (Marsupials.txt) is included. Start BayesTraits with the tree and data file, select Independent contrast (7) and MCMC (2), run the variable rates analysis with the commands below.

```
VarRates  
Run
```

The log file will contain

| Header        | Output   |
|---------------|--|
| Iteration     | Current iteration of the chain                   |
| Lh            | Current likelihood of the chain                  |
| Harmonic Mean | Running harmonic mean                            |
| Tree No       | Current tree number                              |
| Alpha 1       | Phylogenetic mean of the first trait             |
| Sigma 1       | Brownian motion variance for the first trait     |
| No VarRates   | Number of areas of the tree with variables rates |

Other data files are created, “Marsupials.txt.PP.trees” contains the trees modified by the variables rates, areas which are stretched have an increased rate of change, areas which are shrunken have a decreased rate. The file “Marsupials.txt.PP.txt” contrails a detailed description of the changes, the format of the file is, line 1, number of taxa, followed by a unique taxa ID and taxa name. The second part is the number of internal nodes, followed by a list of internal nodes consisting of a unique node ID, branch length (-1 for root), number of taxa which define the node and the list of taxa ID. The third section details the results of the chain, the columns are

| Header             | Output  |
|--------------------|---|
| <b>It</b>          | Iteration of the chain                                |
| <b>Lh</b>          | Likelihood of the chain                               |
| <b>Lh + Prior</b>  | Likelihood + prior                                    |
| <b>No Pram</b>     | Number of change to rate of the tree                  |
| <b>Alpha</b>       | Estimated phylogenetic mean                           |
| <b>Sigma</b>       | Brownian motion                                       |
| <b>Alpha Scale</b> | Scale of the prior (unchanging, for diagnostics only) |

For each change of rate of the tree (No Param) there is

| Header               | Output                                  |
|----------------------|---|
| <b>Node ID</b>       | The node if the change is on            |
| <b>Scale</b>         | The scale of the change                 |
| <b>Crate It</b>      | The iteration the change was created on |
| <b>Node / Branch</b> | If the change is a node or branch scale |

The .PP.txt file is designed to be computer read, post processing tools will shortly be made available to extract useful information from the file.

## Command List

Command: //  
 Purpose: Add a comment.  
 Shortcut: #  
 Parameters: None  
 Example: # This is a comment.

Command: AddMRCA  
 Purpose: To reconstruct an internal node using the most recent common ancestor approach  
 Shortcut: MRCA  
 Parameters: A node name and a list of taxa names or number that define a node to reconstruct.  
 Example: AddMRCA Node1 Taxa1 Taxa2 Taxa3  
 MRCA Node1 1 2 3 4

Command: AddNode  
 Purpose: To reconstruct an internal node.  
 Shortcut: AddN  
 Parameters: A node name and a list of taxa names or number that define a node to reconstruct.  
 Example: AddNode Node1 Taxa1 Taxa2 Taxa3  
 AddN Node1 1 2 3 4

Command: AlphaZero  
 Purpose: Sets the intercept to zero  
 Shortcut: AZ  
 Parameters: None  
 Example: AlphaZero

Command: BurnIn

Purpose: To set the number of iterations to burn the MCMC chain in for, use -1 for an infinite chain.

Shortcut: BI

Parameters: An integer

Example: BurnIn 50000  
BI -1

Command: CapRJRates

Purpose: Cap the maximum number of reverse jump rates to use

Shortcut: Cap

Parameters: An integer, >0

Example: CapRJRates 2  
Cap 1

Command: CoVarion

Purpose: Turn on/off the convarion model

Shortcut: CV

Parameters: None

Example: CoVarion  
CV

Command: DataDev

Purpose: Set the deviation parameter to used when perturbing estimated data. This value should be automatically set, modifying the value is not recommended.

Shortcut: DD

Parameters: A number, >0

Example: DataDev 2.0  
DD 01

Command: Delta

Purpose: Estimate delta or set it to a fixed value

Shortcut: DL

Parameters: None to estimate delta, or a number to fix it to a value

Example: Delta  
Delta 0.5

Command: EqualTrees

Purpose: Force the chain to spend an equal amount of time on each tree in the sample. This results in a separate posterior distribution pre tree.

Shortcut: EQT

Parameters: Number of iterations to burn each tree in for.

Example: EqualTrees 20000

Command: EvenRoot

Purpose: Set midpoint rooting for the sample.

Shortcut: ER

Parameters: None

Example: EvenRoot

Command: Exit

Purpose: Exit BayesTraits without running the analysis

Shortcut: Quit  
 Parameters: None  
 Example: Exit

Command: Fossil  
 Purpose: Fix an internal node to a specific value  
 Shortcut: FO  
 Parameters: A name, the value to fossilise the node in and a list of taxa which define the node. See the fixing node values section above for more information.  
 Example: Fossil AnsNode D 12 13 14 15 16 17  
 Fossil Base 2 Hylobates\_gabriellae Hylobates\_leucogenys Hylobates\_concolor

Command: Gamma  
 Purpose: Estimate or fix gamma rate heterogeneity.  
 Shortcut: GA  
 Parameters: The number of gamma categories and an option value to fix the parameter  
 Example: Gamma 4  
 Gamma 4 0.5

Command: Help  
 Purpose: Print a list of commands, not all are valid / working  
 Shortcut: he  
 Parameters: none  
 Example: Help

Command: HyperPrior  
 Purpose: Set a hyper prior on a parameter  
 Shortcut: HP  
 Parameters: A parameter name, a distribution name, and range to draw each parameter from  
 Example: HyperPrior q01 exp 0 100  
 HP q10 gamma 0 100 0 100

Command: HyperPriorAll  
 Purpose: Set all priors to a common hyper prior  
 Shortcut: HPAll  
 Parameters: A distribution name and range to draw each parameter from  
 Example: HyperPriorAll Beta 0 100 0 50  
 HPAll Exp 0 200

Command: Info  
 Purpose: Print current options  
 Shortcut: in  
 Parameters: None  
 Example: Info

Command: Iterations  
 Purpose: Set the number of iterations to run the chain for  
 Shortcut: IT  
 Parameters: The number of iterations to run the chain for, or -1 for an infinite chain, use Ctrl+C for termination.  
 Example: Iterations 1000000  
 IT -1

Command: Kappa  
Purpose: Set the kappa scaling parameter  
Shortcut: KA  
Parameters: None to estimate kappa, or a number to fix it to value  
Example: Kappa  
KA 0.1

Command: Lambda  
Purpose: Set the lambda scaling parameter  
Shortcut: LA  
Parameters: None to estimate lambda, or a number to fix it to value  
Example: Lambda  
LA 0.8

Command: LoadModels  
Purpose: To load models from a model file, see SaveModels  
Shortcut: LM  
Parameters: A model file name  
Example: LoadModels ModelFile.bin

Command: MLTries  
Purpose: Set the number of times to find the maximum likelihood values, higher values are more consistent but take longer to run  
Shortcut: MLT  
Parameters: Number of maximum likelihood tries, default 10.  
Example: MLTries 35  
MLT 100

Command: Pis  
Purpose: Set the base frequencies  
Shortcut: Pi  
Parameters: Set the base frequencies estimates, est, emp, uni and none est for estimate frequencies, emp for empirical, uni for uniform and none not to use any (all set to 1).  
Example: Pis emp  
Pis uni  
Pis est  
Pis none

Command: Prior  
Purpose: Set the prior for a parameter  
Shortcut: pr  
Parameters: a parameter, a distribution type and parameters, distributions include, beta, gamma, uniform and exp  
Example: Prior alpha1 exp 10  
Prior q01 gamma 10 5  
Prior q10 Beta 2.5 1  
Prior q34 Uniform 0 1

Command: PriorAll  
Purpose: Set the prior for all parameters  
Shortcut: PA

Parameters: A distribution type and parameters, see prior command  
Example: PriorAll Exp 10  
PriorAll Beta 1 7

Command: PriorCats  
Purpose: Specify the number of categories to divide the prior into, default 100.  
Shortcut: PCat  
Parameters: An integer > 1,  
Example: PriorCats 200  
PCat 50

Command: RateDev  
Purpose: Sets the rate deviation parameter, effecting acceptance rate. The new version automatically finds a good acceptance rate. Setting it manually is an advanced option and should be avoided.  
Shortcut: RD  
Parameters: None to automatically estimate the parameter, an value to set all parameters to the same value, a parameter name and value to set a specific parameter to a value, only for continuous models.  
Example: RateDev  
RateDev 12.5  
RateDev alpha-1 0.3

Command: Restrict  
Purpose: Restrict a parameter or parameters to another parameter of a fixed value.  
Shortcut: Res  
Parameters: A list of parameter to restrict, a parameter or fixed value to restrict to.  
Example: Restrict alpha1 beta1  
Restrict alpha1 beta1 alpha2 beta2  
Restrict beta1 beta2 1.5

Command: RestrictAll  
Purpose: Restrict all parameter to a parameter or fixed value  
Shortcut: ResAll  
Parameters: A parameter or fixed value  
Example: RestrictAll alpha1  
ResAll 0.75

Command: RevJump  
Purpose: Set a reverse jump analysis  
Shortcut: RJ  
Parameters: A prior and prior parameter  
Example: RevJump exp 10  
RevJump Gamma 4 20  
RJ Beta 5.0 2.5

Command: RevJumpHP  
Purpose: Set a reverse jump analysis with a hyper prior  
Shortcut: RJHP  
Parameters: A hyper prior  
Example: RevJumpHP exp 0 100  
RevJumpHP gamma 0 100 0 50

Command: Run  
Purpose: Run the analysis  
Shortcut: RU  
Parameters: None  
Example: Run

Command: Sample  
Purpose: Set the sample frequency  
Shortcut: SA  
Parameters: An integer > 0  
Example: Sample 1000  
Sample 250

Command: SaveModels  
Purpose: Save the models to a file  
Shortcut: SM  
Parameters: A file name to save the models to.  
Example: SaveModels ModelFile.bin  
SM ModelFile.bin

Command: SaveTrees  
Purpose: Save the sample of trees before analysis  
Shortcut: ST  
Parameters: A filename to save the trees to  
Example: SaveTrees STrees.trees

Command: Seed  
Purpose: Set the random seed  
Shortcut: se  
Parameters: An integer, > 0, to seed the random number from  
Example: Seed 39362  
Se 483

Command: Symmetrical  
Purpose: Make restrictions to create a symmetrical matrix  
Shortcut: SYM  
Parameters: none  
Example: Symmetrical  
SYM

Command: TaxaInfo  
Purpose: Show taxa names and numbers  
Shortcut: TI  
Parameters: None  
Example: TaxaInfo

Command: TestCorrel  
Purpose: To set the correlation between traits to zero, used for model testing.  
Shortcut: TC  
Parameters: None  
Example: TestCorrel



Command: UnRestrict  
Purpose: Remove a parameter restriction  
Shortcut: UNRes  
Parameters: A parameter to un restrict  
Example: UnRestrict q01

Command: UnRestrictAll  
Purpose: Remove all restrictions  
Shortcut: UnResAll  
Parameters: none  
Example: none

Command: VarRates  
Purpose: Use the variable rates model  
Shortcut: VR  
Parameters: None  
Example: VarRates

## Common problem / Frequently Asked Questions

### 1) Problems running the program

- Q) Double clicking on the program does not work.
- A) BayesTraits is run from the command line and not by double clicking on it. See “Running BayesTraits” section.

### 2) Common tree and data errors

- A) Tree must be in nexus format with a valid translate block. Use the example files as a template.
- B) Tree descriptions must have number and not taxa name in them.
- C) Trees must be rooted.
- D) Trees and data must be encoded using ASCII format not Unicode
- E) The error “Could not load data for taxa X”, this error is caused by a taxa being specified in the tree file but not in the data file. Check spelling and taxa numbers
- F) The error “Tree file does not have a valid nexus tag.” Is because a nexus tag is not found in the tree file. Possible causes are specifying the data file before the tree file.

3) Error message “Memory allocation error in file ...”, the two main causes of memory allocation errors are running out of memory, this can be due to too many trees in the tree file or complex memory intensive models. Check the programs memory usage, if you have a 64 bit OS use the 64 bit version of the program. Try running the program with a smaller number of trees and simpler models. The second cause of the error is due to programming errors, if you believe this is the case, please send along the tree file, data file and set of command used.

### 4) Chain is not mixing between trees.

This problem can be caused when one tree’s likelihood is significantly better than other trees in the sample. Trees are sampled in proportion to their likelihood, if one is much better than the rest it will be sampled much more often. This can be a particular problem with a large number of trees when the topology is poorly supported, a chance combination create a much better likelihood preventing the chain from mixing. To test if this is the problem run the sample using ML, this will determine if the tree which the chain gets stuck on has the best likelihood. Two options are available, the first is to remove the tree from the sample if you believe it is anomalous for some reason. The second is to use the Equal Tree command to force the chain to spend an equal amount of time on each tree. The equal tree command will produce a separate posterior sample of rates, parameters and ancestral states per tree, instead of a single set integrated over the sample of trees.

## References